

Revolutionizing Gene Editing Techniques in Medicine: siRNAs, saRNAs, miRNAs, CRISPR/Cas System

Anata Bezman

Received January 09, 2025

Accepted May 23, 2025

Electronic access June 15, 2025

Gene therapy is a new modality of medical treatment that can treat or prevent diseases by directly modifying gene expression. This review focuses on four prominent mechanisms: small interfering RNAs (siRNAs), small activating RNAs (saRNAs), micro RNAs (miRNAs), and the CRISPR/Cas system. siRNA and miRNA silence gene expression by either degrading mRNA or repressing protein translation, respectively, while saRNA promotes gene expression through targeting promoter regions. CRISPR/Cas precisely edits genes through insertion, deletion, or correction of specific sequences. Although these mechanisms show significant therapeutic potential, challenges such as delivery barriers and off-target effects remain as obstacles. This review synthesizes findings from peer-reviewed articles found using Google Scholar, PubMed, and Scopus, while focusing on research from the past 20 years. While exceptions were made for a few older articles due to their importance to the paper, their credibility was assessed through cross-referencing with reliable medical sources. Preliminary findings highlight the capability of siRNA and miRNA in silencing genes associated with various diseases, the potential of saRNA in activating therapeutic targets, and the precision of CRISPR/Cas in correcting genetic mutations. By providing a comprehensive evaluation of four innovative gene therapy approaches via their mechanisms of action, advantages, challenges, and therapeutic applications, this review highlights the need for continued innovation to overcome current limitations and revolutionize medicine.

Keywords: Gene Therapy, RNA Interference, Gene Editing, Small Interfering RNA (siRNA), Small Activating RNA (saRNA), Micro RNA (miRNA), CRISPR/Cas

Introduction

Gene therapy is a groundbreaking scientific advancement in medicine that has the potential to treat and cure genetically impacted disorders by modifying or manipulating the expression of the underlying genetic cause. By increasing, silencing, or correcting the expression of specific genes within a patient's cells, gene therapy aims to cure diseases considered incurable or have suboptimal cures. These approaches can precisely target the cause of disease in a large variety of disorders, such as cancers, strokes, and genetic disorders. Gene therapy can upregulate the expression of one or multiple genes, allowing the cells to increase the production of the downstream protein(s) deficient in certain diseases¹. In contrast, gene therapy can also silence genes to block or prevent the production of unwanted proteins². Upregulation and silencing mechanisms are beneficial when altering the expression of specific genes. By increasing the expression of under-expressed genes or silencing the expression of pathogenic genes, scientists can correct the underlying cause of various diseases. In addition, gene correction techniques that replace faulty genetic sequences within the genome can help treat many genetic disorders. As gene therapy continues to evolve with the rapid increase in the discovery of new gene-editing technologies, it paves the way for revolutionary treatments that

can address the underlying causes of diseases rather than just symptom management.

Small interfering RNA (siRNA) is a powerful gene therapy tool that silences specific gene expressions by targeting and degrading their messenger RNA (mRNA). This tool exploits the natural cellular process of RNA interference (RNAi). In short, double-stranded RNA (dsRNA) molecules that are homologous to the silenced gene guide the degradation of mRNA³. These molecules are then cleaved by an enzyme into short fragments of 21-25 nucleotides, and each fragment joins a protein complex that degrades the mRNA⁴. siRNA is an extremely promising method due to its efficiency; however, there are still many challenges in the application of this technique due to potential problems with sequence targeting, off-target silencing, and accidental activation of immune responses⁵.

In contrast to siRNAs, small activating RNAs (saRNAs) are short double-stranded molecules that play a role in the upregulation of transcription of specific genes. Unlike siRNAs, which are gene-silencing modalities, saRNAs exploit the cellular process of RNA activation (RNAa) to enhance gene expression⁶. Although the exact mechanism is unknown, the rough process is that saRNAs bind to complementary sequences within gene promoters, recruit the protein Argonaute 2 (Ago2) and its associated proteins CTR9 and RHA, and form the RNA-induced tran-

scriptional activation (RITA) complex that modifies chromatin structure⁶. Like siRNAs, saRNAs have significant benefits as they are highly regulated mechanisms and can be chemically synthesized in high yields. However, they can face similar issues in stability, accidental immune responses, off-target effects, and inaccurate delivery⁶.

MicroRNAs (miRNAs) are small, non-coding 21-25 nucleotide single-stranded RNAs produced from hairpin-shaped primary miRNAs (pri-miRNA)⁷. They regulate gene expression post-transcriptionally by binding to their target mRNAs' untranslated region and further repressing protein production⁷. In addition to repressing translation, miRNAs are also able to degrade mRNA. miRNAs have significant functions in the human body, as they participate in many cellular biological processes such as homeostasis, cell growth, cellular differentiation, apoptosis, and stress responses. They also regulate many human diseases, such as neurological diseases, cardiovascular diseases, cancer, and aging⁸. Similar to the previous methods of gene therapy, this method also has some challenges in working efficiently: the degradation and clearing of unmodified miRNAs in blood circulation, limited penetration of miRNAs, unwanted immune system activation, and off-target effects⁹.

Lastly, CRISPR/Cas systems are immune systems that exist in most bacteria and archaea and prevent them from being infected by phages, viruses, and other foreign bodies. CRISPR are clustered, regularly interspaced, short, palindromic repeats that can be further transcribed into CRISPR RNA (crRNA), transactivating CRISPR RNA (tracrRNA), and CRISPR-associated (cas) genes for Cas proteins¹⁰. These systems are divided into two classes: Class 1 and Class 2, six types: (I-VI), and subtypes (multi-Cas protein and single Cas protein)¹¹. CRISPR/Cas systems correct gene expression by targeting and destroying their associated nucleic acids. They precisely cut the DNA and then use the cells natural body systems to modify the gene. Due to CRISPR/Cas being a relatively new tool for genome editing and expression, some factors and challenges influence its efficacy: off-target effects, efficiency of DNA repair mechanisms, and selection of target site¹⁰.

This review paper solely focuses on mechanisms, challenges, and applications, as well as ethical, legal, and social considerations to the treatments. Peer-reviewed articles from the last 20 years, identified through Google Scholar, were analyzed to ensure credibility and relevance, with older articles cross-referenced for reliability. It provides an overview of various gene therapy mechanisms (Fig.1), their applications as treatments for various diseases, and their challenges in efficiency and usage in order to bridge gaps in understanding gene therapy and its potential for revolutionizing medicine.

Mechanisms and Therapeutic Applications

Small Interfering RNAs

Mechanism of siRNAs Small interfering RNAs (siRNAs) are short, 21-25 nucleotide long, double-stranded fragments of RNA. They participate in the biological process that silences genes. siRNAs work by degrading specific messenger RNA (mRNA) sequences, preventing the production of proteins. Due to RNAi being a relatively new discovery, the mechanism of siRNA has become more apparent through extensive research.

The discovery of siRNAs and their function began with studies on post-transcriptional gene silencing (PTGS) in plants⁴. Hamilton and Baucombe discovered an accumulation of 25-nucleotides small RNAs in tomato plants undergoing PTGS¹². This was the first discovery of the role of small RNAs in the RNAi process. In 1999, when Tuschl et al. tested an in vitro cell-free system obtained from a *Drosophila* syncytial blastoderm embryo, they found that the double stranded RNA (dsRNA) was processed into 21-23 nucleotide siRNAs. However, the single-stranded RNA was not efficiently converted into the same 21-23 nucleotide products, proving the importance of the dsRNA in siRNA processing¹³. The role of siRNAs in RNAi was further confirmed by a study by Elbashir et al., who then demonstrated that siRNAs can efficiently guide the degradation of homologous mRNAs¹⁴. To further assess the exact role of siRNAs in RNAi, an experiment by Zamore et al. fractionated both the unprocessed and the processed dsRNAs ((P. D. Zamore, T. Tuschl, P. A. Sharp, D. P. Bartel. RNAi: double-stranded RNA directs the ATP-dependent cleavage of mRNA at 21 to 23 nucleotide intervals. *Cell* 101, 25-33 (2000).)). The fractions with siRNAs induced RNA degradation. These studies proved that siRNAs were the main parts of the RNAi reaction.

To further understand the siRNA-assisted RNAi silencing process, researchers looked for an enzyme responsible for siRNA's binding and cleavage process. Bass discovered the involvement of RNase III-type endonucleases in the degradation of dsRNAs by siRNAs based on the binding and cleavage properties of RNase III enzymes. He found that the RNase III enzyme cuts both strands of the dsRNA and leaves a 3 overhang of 2 nucleotides. These cuts by the RNase III enzyme form the siRNAs¹⁵. Upon further analysis of the siRNAs generated by the *Drosophila* system, Tuschl et al. found a 5 phosphate, 3 hydroxyl, and a 3 2 nucleotide overhang in the processed 21- to 23- nucleotide RNAs. One gene, *dicer*, was found to encode an enzyme that cleaves dsRNA into 22 nucleotide fragments. When immunoprecipitated from *Drosophila* extracts, it was found that Dicer produced 22 nucleotide RNAs from dsRNA¹³.

In RNAi, a small amount of dsRNA can lead to prolonged degradation of target mRNA. Although the initial synthesis of dsRNA into siRNAs can lead to degradation of target mRNA, it is insufficient for consistent sustained degradation⁴. This sug-

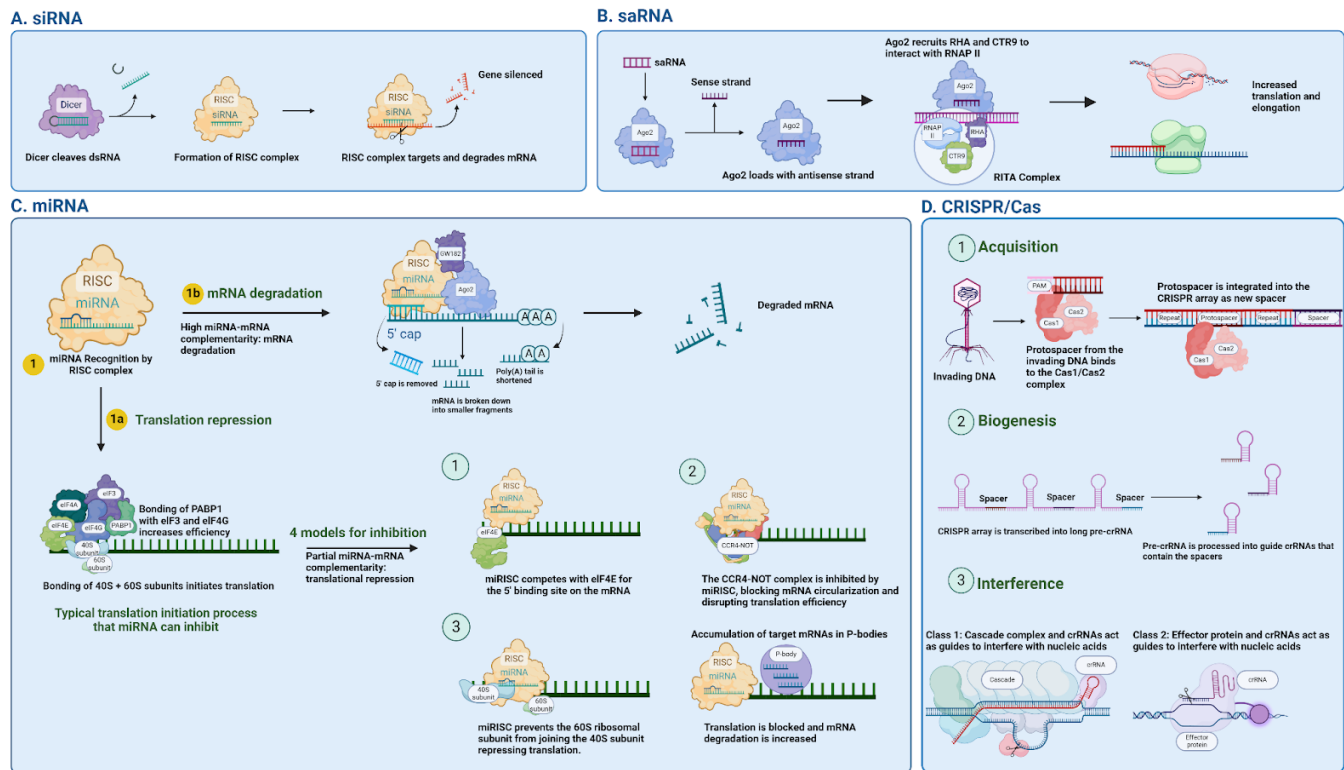


Figure 1. Proposed mechanisms of action of current gene editing techniques: **A. siRNA:** The siRNA mechanism begins with the cleavage of double-stranded RNA by the enzyme Dicer. Dicer cleaves into siRNA fragments, which are 21-25 nucleotide long RNA strands. One strand of the siRNA, the guide strand, is incorporated into the RISC (RNA-induced silencing complex). This complex identifies and binds to complementary mRNA sequences within the cell. Once bound, the RISC complex cleaves and degrades the target mRNA, silencing the expression of the gene. **B. saRNA:** The antisense strand of saRNA is loaded by the Ago2 (Argonaute 2) protein. Subsequently, Ago2 recruits RNA helicase A (RHA) and CTR9 to interact with RNA polymerase II (RNAP II). The interaction forms the RITA (RNA-induced transcriptional activation) complex. This complex promotes transcriptional elongation and translation of the target gene. **C. miRNA:** miRNA has two separate pathways: mRNA degradation due to high miRNA-mRNA complementarity and translation repression due to partial miRNA-mRNA complementarity. In the first step, the miRISC (microRNA-induced silencing complex) recognizes mRNA strands through guidance by the miRNAs. When there is partial miRNA-mRNA complementarity (1a), the miRNAs are able to inhibit translation. The typical translation initiation consists of the eIF4F complex (eIF4A, eIF4E, and eIF4G); eIF3, eIF4G, and PABP1 bonding to increase efficiency, and the bonding of the 40S and 60S ribosomal subunits to initiate translation. There are four models to the inhibition of this process: competition for the 5' binding site on the mRNA between miRISC and eIF4E (model 1), disruption of mRNA circularization by the CCR4-NOT complex (model 2), prevention of the joining of the 60S and 40S ribosomal units (model 3), or the accumulation of mRNA in P-bodies. With high miRNA-mRNA complementarity (1b), the 5' cap of the mRNA is removed, the mRNA is broken down into smaller fragments, and the poly(A) tail is shortened, leading to degradation. **D. CRISPR/Cas:** In the first stage, acquisition, the invading DNA is recognized by the cell, and a segment called a protospacer is extracted from the invading DNA and bound to a complex formed by Cas1/Cas2. The protospacer is integrated into the CRISPR array as a new spacer, guided by the presence of a PAM (protospacer adjacent motif). The spacers in the CRISPR array are separated by repeats. In the biogenesis stage, the CRISPR array is transcribed into a long pre-crRNA (precursor CRISPR RNA). The long pre-crRNA is further processed into smaller guide crRNAs with one spacer from the invader DNA. The interference stage is very different based off of the class of the CRISPR/Cas system: Class 1 systems employ Cascade complexes and crRNAs to act as guides to interfere with the invading nucleic acids, while Class 2 systems use a single effector protein and crRNAs to act as guides.

gests a mechanism beyond simple degradation of target mRNA involved in this phenomenon. Lipardi et al. investigated the dsRNA-dependent degradation of target mRNA in a *Drosophila* embryo system and discovered the generation of dsRNAs from labeled siRNAs. They found that single-stranded RNAs, mimicking the target mRNA, and dsRNAs served as templates for RNA-dependent RNA polymerase (RdRP). This rapidly produced new dsRNAs that were subsequently cleaved into siRNAs¹⁶. Through an RNAi reaction, Sijen et al. discovered the formation of new secondary siRNAs that were different from the initial dsRNAs but still corresponded to the target mRNA¹⁷. RdRP is crucial for generating the secondary siRNAs, which sustain the RNAi. Amplification occurs at various stages of the RNAi reaction, enhancing the gene silencing effect⁴.

In the last phase of RNAi, the siRNAs bind to the RISC, a component of the RNAi mechanism. RISC is activated using ATP, leading to the siRNA's unwinding and exposing the siRNA's antisense strand⁴. Once the antisense strand is exposed, it guides the RISC to the target mRNA. Once the RISC is activated, its antisense siRNA component pairs with complementary mRNA sequences. The RISC cleaves the target mRNA approximately 11-12 nucleotides down from the 5' end of the guide siRNA⁴. Exoribonucleases likely degrade the resulting mRNA fragments after RISC cleavage. Some of the cleaved mRNA fragments may be further converted into duplex forms by RdRP activity⁴. This forms new siRNA-like molecules that help to contribute to the amplification of the RNAi response. In a different model, the siRNAs assemble along the target RNA and are combined by RNA ligase to generate complementary RNA (cRNA). Dicer then processes the cRNA and target RNA⁴.

The RNA-induced silencing complex (RISC) is an essential component of the RNAi mechanism, as it mediates the degradation of target mRNAs through siRNAs⁵. RISC is composed of Argonaute proteins, more specifically, Argonaute2 (Ago2). Ago2 binds the guide siRNA strand, removes the passenger strand, and undergoes several cycles of target mRNA recognition, cleavage, and release. Argonaute selects the guide strand with the less stable 5' end and slices the passenger strand for removal⁵. AGO2 has three functional domains: P-element induced wimpy testis (PIWI), Piwi-Argonaute-Zwille (PAZ), and middle (MID). PIWI contains an RNase H fold, which provides the slicing activity essential for mRNA degradation⁵. The PAZ domain recognizes the 3' dinucleotide end and anchors it within its hydrophobic pocket. The terminal nucleotide base stacks with an aromatic ring of one of the aromatic residues on the pocket. The 5' phosphate end of the siRNA interacts with the MID and PIWI domains and binds to a magnesium ion coordinated with the C-terminus of the protein⁵.

Dicer is a member of the RNase III nuclease family, one of the few nuclease families specific for dsRNA cleavage⁴. It breaks the phosphodiester bonds found in the dsRNAs, generating the siRNAs. Dicer can convert dsRNA into uniformly

sized siRNAs. The structure contains four domains: an amino-terminal helicase domain, dual RNase III motifs, a dsRNA binding domain, and a PAZ domain, which is present in proteins like Piwi, Argo, and Zwille. These domains are familiar with other families of proteins, such as RDE1, quelling-deficiency 2 (QDE2), and Argonaute, which are all genetically linked to RNAi. The Dicer protein has two forms: Dicer-1 cleaves pre-miRNAs, and Dicer-2 processes dsRNAs. The helicase domain of Dicer proteins recognizes and processes siRNA. It comprises multiple subdomains (HEL1, HEL2i, and HEL2) in various Dicers. Dicer-2 uses its helicase domain to recognize, unwind, and cleave the dsRNAs in organisms with two Dicers. Furthermore, helicases also act as sensors for viral RNA and activate the appropriate RNAi pathway for cleavage¹⁸. The helicase domain of Dicer helps facilitate substrate recognition, unwinding, and cleavage, contributing to the creation of siRNAs and the RNAi mechanism. The dsRNA binding domain (dsRBD) is a small, conserved protein domain found across eukaryotic, prokaryotic, and viral proteins. dsRBD primarily functions in binding dsRNA and is essential for substrate binding. It helps engage dsRNA substrates, facilitating transfer to the other domains for cleavage¹⁸. The PAZ domain is a crucial component of Dicer proteins. It facilitates the initial recognition and anchoring of RNA substrates with two adjacent pockets. The 3' pocket binds the two nucleotide 3' overhang of RNA, while the 5' pocket binds the phosphate group. This anchors the RNA substrate and facilitates the processing of dsRNA into siRNA. PAZ also mediates interactions between the Dicer and Ago proteins, which assemble the RISC that facilitates gene silencing¹⁸. Dicer proteins' dual RNase III motifs consist of two RNase III domains (RNase IIIa and RNase IIIb). Each RNase domain independently catalyzes the cleavage of the phosphodiester bonds within one strand of the dsRNA. The RNase IIIa domain cleaves the 3' end of the pre-siRNA with 3' overhangs, while the RNase IIIb domain cleaves the 5' phosphate arm. This allows Dicer to produce siRNA of exact lengths essential for incorporation into the RISC¹⁸.

In summary, the siRNA mechanism begins with dsRNA processed by the enzyme Dicer, which cleaves it into siRNAs. These siRNAs are then loaded onto the RISC, where the antisense strand guides RISC to complementary target mRNA, leading to cleavage and degradation. Secondary siRNAs form through RdRP-mediated amplification, further sustaining the RNAi effect.

Applications of siRNA in ischemic stroke treatment

One notable application of siRNA is its therapeutic capabilities for stroke treatment. Cerebral strokes often leave patients with long-lasting mental, physical, and psychological disabilities. The most common type of cerebral stroke, ischemic stroke, occurs when there is an interruption or reduction of oxygen flow to neurons, leading to hypoxia and cell death¹⁹. The main goal

of therapeutic intervention through siRNA is restoring any lost neurological functions from the strokes. Several attempts in the past couple of years have been to induce neuroprotection and reduce inflammation, delay scar tissue and activate neuronal plasticity, enhance neurogenesis from the subventricular zone (SVZ), and replace lost cells through stem cell insertions. Researchers rely on regulating protein pathways to restore impaired function using siRNAs to do this. SiRNAs are used to silence protein pathways activated after stroke, as very few reports suggest that siRNA usage for prevention is a possibility¹⁹.

One of the possible applications of siRNAs in cerebral strokes is the induction of neuroprotection after a stroke to restore neuronal activity and function¹⁹. A study by Kim et al. tested if RNAi against the protein kinase apoptosis signal-regulating kinase 1 (Ask1) downregulates the expression of Ask1 and prevents apoptotic neuronal cell death after ischemia/reperfusion (I/R) in mice²⁰. An I/R injury occurs when blood flow is restored to previously ischemic tissues. Although reperfusion is necessary to save the tissues, it can cause further damage, including cell dysfunction and death²¹. In this treatment, they rescued brain damage after I/R in mice that underwent occlusion of the middle cerebral artery for one hour, followed by more reperfusion. In their results, Kim et al. concluded that Ask1-siRNA reduces the upregulation of Ask1 and found some reduced infarction in the ischemic brain after I/R. However, there were no reports of behavioral outcomes in treated animals²⁰.

Wang Yamaguchi found that the transcription factor C/EBP homologous protein (CHOP) promotes apoptosis after stress on the endoplasmic reticulum (ER) in various diseases and delayed adaptation in neurons after hypoxia. Furthermore, CHOP also acts post-transcriptionally through p38 mitogen-activated protein kinase (MAPK) in response to ER stress and activates the expression of Bim²². This expression of the Bim protein leads to Caspase-3-dependent apoptosis¹⁹. A study by He et al. proved that intracerebroventricular pre-treatment with CHOP siRNA in a subarachnoid hemorrhage (SAH) model significantly upregulated the antiapoptotic B-cell leukemia/lymphoma 2 (Bcl2) protein and downregulated the expression of the problematic protein Caspase-3. Furthermore, any neurological deficits were reduced in any siRNA-treated animals, providing evidence for siRNA potential for apoptotic mechanisms after SAH²³. Finally, another study by Al-Jamal et al. directly targeted Caspase-3 through a local siRNA delivery by an intraparenchymal injection in an endothelin-induced ischemia rat model. The researchers found that acute local delivery of Caspase-3-siRNA-loaded carbon nanotubes into the primary cortex 24 hours before the stroke reduced neuronal apoptosis and prevented microglia activation after the stroke. Furthermore, forelimb motor function was restored entirely in animals treated with Caspase-3-siRNA, while internalization of the carbon nanotubes by neurons suggested that siRNA delivery was achieved. Also, an improvement was found in motor skills reaching tests, which suggests clinical

potential for this method of treating ischemic strokes²⁴.

Another potential application of siRNA in ischemic stroke treatment is the elucidation of neurodegenerative mediators. A study by Tizon et al. demonstrated how Cystatin C (CysC), an inhibitor of cysteine protease activity and regulator of autophagy, can act as a neuroprotective mechanism after cell damage. They found that by blocking autophagy in oxygen-deprived cell culture models and primary neurons using Beclin1-siRNA, they could eliminate the protective effect of CysC. Furthermore, when using a proprietary dendriplex complex for siRNA delivery, downregulation and knockdown of Beclin-1 were observed, preventing autophagy²⁵. Therefore, Beclin-1 prevention of autophagy can be used as a potential strategy for neuroprotection after stroke damage.

The application of siRNA for ischemic stroke has shown promising results in preclinical rodent models in reducing neuronal apoptosis through the silencing of stress and apoptosis-related pathways. Ask-1-siRNA, CHOP-siRNA, and Caspase-3-siRNA were found to contribute to decrease in inflammation size, reduced expression of apoptotic proteins, and measurable functional recovery in stroke models. Additionally, Beclin1-siRNA was shown to have potential in moderating neurodegenerative responses by blocking autophagy. While these interventions led to high success rates in animal studies, particularly in reducing neuronal damage and improving recovery markers, there is no clinical outcome data to support effectiveness in human patients. All of these studies were entirely preclinical, with most performed in rodent models under tightly controlled conditions. Some limitations to these studies were the small brain size of the rodents, differences in immune responses, and ease of delivery in rodents. Rodents have a significantly smaller brain size than humans, so delivering siRNA directly to the brain is more feasible in rodents than humans. The blood brain barrier (BBB) causes target delivery to the human brain to be significantly more difficult and require highly specialized delivery systems. This is a possible reason to why these studies showed neuroprotection in rodent stroke models but failed in human trials. There is also very limited data on long-term outcomes of the rodents in these studies. While siRNA therapies hold potential in regulating post-stroke pathways and promoting neuroprotection, they remain in the preclinical phase. There needs to be further research in overcoming delivery barriers and optimizing translation between rodents to humans for eventual clinical implementation and human trials.

Challenges in siRNA application

Generally, applying the siRNA gene therapy technique is promising due to its efficient and specific gene silencing mechanisms. However, some challenges in its therapeutic application still need to be overcome for safe and efficient usage. The first challenge lies in its stability and targeting. siRNAs outside of cells are prone to enzyme degradation in serum and tissues with

a half-life of several minutes to an hour⁵. This very short period makes accumulating siRNA to the appropriate target site a significant challenge. To be effective, siRNAs have to not only survive in the serum, but they also have to reach the target cells or tissues. Furthermore, siRNAs face further challenges once at their target site. Their large size and negative charge also prevent diffusion across the plasma membrane, impacting the accumulation of siRNAs in cells. Also, they are even vulnerable to degradation by intracellular RNases and need to be recognized and incorporated by the RISC almost immediately⁵). A recent review highlights the usage of lipid nanoparticles as a newfound sustainable option in delivery. LNPs are able to protect RNA molecules from degradation by nucleases, which enhances cellular uptake, minimizes off-target interactions, and leads to low immunogenicity and toxicity²⁶.

Aside from challenges in delivery, the siRNA mechanism also has limitations in practice. A microarray analysis by Jackson et al. found that siRNA treatments can accidentally silence off-target genes, leading to harmful mutations and unexpected cellular impacts²⁷. Most of these off-target silencing events occur due to homology with 6-7 nucleotides in the seed region of the siRNA. Focusing only on mRNA levels in off-target analyses can also overlook genes suppressed during translation⁵. Furthermore, if the RISC poorly selects the guide strand over the passenger strand, the probability of matching undesired targets increases. A final challenge is the accidental activation of an immune response. siRNA duplexes 23 nucleotides long can activate interferon responses and cause cell death. Also, specific siRNAs can bind and activate the toll-like receptor 7 (TLR7) receptor, which initiates immune responses, if they have a particular 5-GUCCUCAA-3 sequence or similar GU-rich sequences⁵.

Small Activating RNAs

Mechanism of saRNAs

Small activating RNAs (saRNAs) are small sequences of dsRNA that participate in the biological process of RNA activation (RNAa). Unlike RNAi, which downregulates or silences the expression of genes, RNAa induces transcription at a faster rate and increases the overall gene expression.

Similar to siRNAs, there is no clear-cut mechanism for saRNAs, so a general mechanism must be found through various experiments and studies. Previous chromatin immunoprecipitation (ChIP) studies by Portnoy et al. revealed an increase of RNA polymerase II (RNAP II) and different epigenetic marks at saRNA target promoters. These findings suggested that there should be a mechanism in which gene expression is induced through transcription. More recently, another study by Portnoy et al. performed biochemical, proteomic, and functional analysis on the saRNA process using human CDKN1A (p21) as a gene to explore the exact mechanism of RNAa and how saRNAs can

increase the transcription rate²⁸. Their first step in the analysis was to confirm that saRNAs increase transcription through a direct, on-target mechanism. The researchers then conducted nuclear run-on assays on two saRNAs- saP21, targeting the p21 gene, and saEcad, targeting the E-cadherin gene.

These experiments showed significant increases in the transcription rates of these genes after saRNA transfection. The sa21 and saEcad caused a 9.3 and 28.3-fold increase in transcription compared to the controls. To confirm that this effect was specifically due to saRNAs and not any off-target effects, the researchers used CRISPR to mutate the saP21 target site in the p21 promoter in mutated and wild-type PC-3 cells. In mutated cells, mRNA induction by saP21 in p21 cells was almost completely abolished, while in the wild-type PC-3 cells, the saRNA increased p21 expression. There was some p21 protein induction in the mutated cells, but it was most likely due to outside mechanisms unrelated to RNAa. Through this analysis, the researchers confirmed that saRNAs directly increase gene transcription through an on-target mechanism on the transcriptional level.

After confirmation of saRNA activities, Portnoy et al. examined the binding of RNAP II and its phosphorylation at serine 5 (Ser5) and serine 2 (Ser2) in response to saP21 treatment to better understand the saRNA-related transcription process²⁸. Phosphorylation at Ser5 indicates transcriptional pausing near the transcription start site (TSS), while phosphorylation at Ser2 indicates transcription elongation. The differentiation between Ser5 and Ser2 phosphorylation influenced the use of scanning ChIP assays on the p21 gene to measure the RNAP II and Ago2 binding at the various stages. The results showed significant Ago2 binding around the saP21 target site, suggesting that saP21 guided Ago2 binding to its target. In addition, in saP21-treated cells, there was a substantial increase in RNAP II binding near the p21 TSS, suggesting that saRNA-Ago2 interactions promote transcription by facilitating RNAP II recruitment to the promoter. Upon further analysis, a localized accumulation of RNAP II Ser5P and Ser2P was found near the TSS in both treated and non-treated cells, while the accumulation of Ser2P was moderate. This suggests that there were potential pauses in transcription without RNAa. After treatment with saP21, the researchers observed a higher accumulation of RNAP II SerP, reflecting transcription elongation. This change from pauses in transcription to transcription elongation highlights how saRNAs can target both the transcription and elongation processes.

To address critical questions about RNAa, such as if saRNAs bind to their intended targets on the promoters, which strand acts as the guiding strand, and which proteins participate in RNAa, Portnoy et al. developed a ChIP assay called chromatin isolation by biotinylated RNA pull-down (Chlbrp). This assay isolates the DNA and protein components associated with saRNAs at target sites to determine if saRNAs are loaded by Ago2. In short, this method biotinylates one strand of an saRNA duplex at the 3

end, transfects the strand into cells, precipitates the biotinylated saRNA-bound chromatin with magnetic streptavidin beads, and purifies the associated nucleic acids and proteins for analysis. Previous studies have shown that Ago2 loading of duplex RNAs can be impaired by adding chemical groups such as biotin to the 5' end. The researchers biotinylated the 3' end of saP21, saEcad, and control saRNAs. These saRNA duplexes maintained total RNAa activity. They successfully induced their respective genes (p21 and E-cadherin). However, when the researchers added a psoralen group to the 5' end of the antisense strand of saP21, the ability of saP21 to induce p21 expression was blocked by preventing Ago2 loading. This demonstrated that 3' biotinylation on either strand doesn't interfere with RNAa activity, but 5' end labeling interferes with the activity.

Having confirmed the saRNAs can tolerate 3' biotinylation, the researchers transfected cells with biotinylated saRNAs and then pulled down the biotinylated strand to detect the Ago2 protein. They observed different patterns of Ago2 loading on the saP21 and saEcad duplexes based on the thermodynamic stability of the 5' end. Because saP21 has an asymmetric thermodynamic stability, Ago2 was mainly associated with the antisense strand. On the other hand, saEcad has almost symmetric thermodynamic stability, so the two strands had an equal Ago2 association.

To assess if the Ago2-loaded saRNA strands bind to their intended promoters, a quantitative polymerase chain reaction (qPCR) was performed on the DNA pulled down in the Chlbrp assay. The results showed a 24.7-fold enrichment in the antisense strand to the p21 promoter, but not in the sense strand, indicating that only the antisense strand binds to the p21 promoter. In contrast, the binding on both strands was similar to their target promoter with a 16.8-fold enrichment in the sense and a 14.8-fold enrichment in the antisense strands. These results suggest that promoter binding by saRNAs is mainly dependent on Ago2. The Chlbrp samples also contained RNAP II, indicating that saRNAs guide Ago2 to target promoters and interact with transcription machinery to enhance transcription.

In the next phase of the study, Portnoy et al. explored the additional proteins recruited by the saRNA-Ago2 complex that facilitate interaction and activation of transcription. By utilizing mass spectrometry analysis and the Chlbrp assay, they compared the proteins of the antisense strand to the proteins on the sense strand. They found 42 proteins associated with the antisense strand and only 15 associated with the sense strand. In addition, more Ago2 peptides were found in the antisense strand, emphasizing that Ago2 preferentially loads the antisense strand and is the guide strand.

Two significant proteins that were associated with the guide strand were CTR9 and RHA. These proteins were chosen for further analysis due to their ability to activate transcription with DNA/RNA unwinding/binding activity and their potential for interacting with RNAP II. To validate the mass spectrometry results and confirm interactions between the chosen proteins

(CTR9 and RHA) with Ago2 and RNAP II, the researchers used immunofluorescence staining to reveal the nuclear localization for both CTR9 and RHA. They also used reciprocal co-immunoprecipitation assays to show their interaction with Ago2 and RNAPII in saP21-treated cells. Furthermore, the protein CTR9 was found to be part of the polymerase-associated factor 1 complex PAFIC, which is involved in histone modification and transcription regulation. This suggests that the saRNA-Ago2 complex recruits PAFIC for transcriptional activation. The saRNA-Ago2 complex recruits CTR9 and RHA, essential components of the RNA-induced transcriptional activation (RITA) complex, which drives saRNA-mediated transcriptional regulation.

Through this study, the mechanism of the pathway can be hypothesized: saRNAs are first loaded by Ago2 and bind to their intended promoter targets, then Ago2 recruits RHA and CTR9 to interact with RNAP II through the RITA complex which then drives transcription and elongation.

Application of saRNAs in pancreatic cancer treatment

An important potential application for saRNA therapies includes pancreatic cancer, which is one of the most common causes of cancer-related mortality. The number of people who die each year from pancreatic cancer is rapidly increasing, and less than 5% of patients with pancreatic cancer are still alive 5 years after diagnosis. Even with resection and chemotherapy, most patients face recurrence of the cancer. The current treatment for pancreatic cancer, gemcitabine, is not a highly effective treatment as it only improves the one-year survival rate by three percent²⁹.

Since the current treatment is suboptimal, this emphasizes the need of new treatment options like saRNA therapy. The current target for saRNA therapy in pancreatic cancer is the transcriptional factor CCAT/enhancer binding protein alpha (C/EBP α), an upregulator of p21. A study by Reebye et al. found that saRNAs can induce antitumor effects by activating C/EBP α and its downstream targets, including p21³⁰. In pancreatic cancer specifically, the loss of the KDM6B gene that encodes a histone demethylase enhances cancer aggressiveness by downregulating C/EBP α ²². Therefore, the upregulation of C/EBP α by using C/EBP α -saRNA is a promising strategy for pancreatic cancer treatment.

To further improve the efficiency of this approach, delivery mechanisms have been developed to target the cancer cells more precisely. RNA aptamers that recognize these cells have been developed to enhance the delivery of saRNA to pancreatic cancer cells. RNA aptamers can target cell-surface motifs through epitope recognition and cell internalization. Even when exposed to harsh conditions, they have a stable three-dimensional structure, offering better stability, lower toxicity, and lower immunogenicity²⁹. The specific aptamers for pancreatic cells are found through a method called the Systematic Evolution of Lig-

ands by EXponential enrichment (SELEX) which is based on their affinity to PANC-1, human pancreatic adenocarcinoma cells. After 14 rounds of SELEX, the researchers selected the aptamers of P19 and P1.

The study further investigated the selected P19 and P1 aptamers with structural similarities and a common motif, GAAUGCCC. The aptamers were evaluated for their ability to target PANC-1 cells selectively through flow cytometry. PANC-1 cells were detached using a nonenzymatic cell dissociation solution incubated with cyanine 3 (Cy3)-labeled aptamers for 30 minutes at 37C²⁹. The results indicated a significant increase in the cell surface binding of the aptamers, highlighting their potential for targeting pancreatic cancer cells.

Further, to confirm the specificity and internalization of these aptamers, Zhao et al. utilized live-cell confocal imaging where a panel of four different pancreatic cancer cell lines was placed in 35-mm dishes and grown for 24 hours before treatment with Cy3-labeled aptamers²⁹. Their results indicated an increase of P19 and P1 on all pancreatic cancer cells but not on any non-cancerous pancreatic cells, highlighting P19 and P1s specificity for purely cancerous tissues.

To test the delivery for C/EBP α saRNA, Zhao et al. used 20-fluoropyrimidine RNA (20F-RNA) pancreatic cancer-specific aptamers, P19 and P1²⁹. They then created conjugates by synthesizing various RNA components, which then are refolded in a binding buffer and annealed to form scrambled C/EBP α RNAs. The researchers then assessed the internalization of these new saRNA conjugates by incubating PANC-1 cells with Cy3-labeled P19 and P1 conjugates. Imaging after a one-hour incubation found significant uptake of the conjugates in the pancreatic cancer cells, highlighting that using aptamers to deliver saRNA can enhance the activation of C/EBP α expression in pancreatic cancer cells.

Next, the researchers wanted to test gene activation in vivo by examining the effects of P19 and P1 conjugated C/EBP α saRNA on PANC-1 cells. The cells were seeded in 24-well plates and treated with 80 nM of either the conjugated C/EBP α -saRNAs or the scrambled RNAs. This was then repeated 24 hours later, and the cells were harvested after 72 hours for RNA extraction²⁹. Analyses revealed that cells treated with the conjugated C/EBP α RNAs had majorly higher levels of C/EBP α mRNA and p21 than those treated with only the scrambled saRNAs did. A water-soluble tetrazolium salt-1 (WST-1) cell proliferation assay measured the impact of the aptamer conjugates on cell proliferation and found an 80% reduction in cell proliferation, highlighting a sharp decreasing effect caused by p21. To analyze protein expression, the PANC-1 cells were treated similarly, and the total protein was extracted for a Western blot analysis. The results found that P19-C/EBP α -saRNA treatment had 3 times higher C/EBP α protein levels than its counterpart. Overall, they found that linking C/EBP α -saRNA to pancreatic cancer-specific aptamers significantly enhances the depression of C/EBP α .

Finally, the researchers evaluated the antitumor effects of C/EBP α -saRNA in vivo by establishing traceable tumor animal models using firefly luciferase-expressing cells. PANC-1 and AsPC-1 cells were transfected and selected for stable clones using G418, so the resulting cell lines expressed luciferase, allowing for monitoring of tumor growth through bioluminescence. The researchers injected a suspension of luciferase-expressing PANC-1 or AsPC-1 cells mixed with a growth factor-reduced Matrigel matrix into the dorsal skin of 5-week-old severe combined immunodeficiency (SCID) mice²⁹. Once the tumors reached approximately 1 cm, the mice were divided into groups for treatment, receiving injections of various amounts of the aptamer-saRNA conjugates. The results showed that groups treated with either the 100 or 250 pmol dose of P19-C/EBP α -saRNA and P1-C/EBP α -saRNA significantly reduced tumor growth. P19-C/EBP α -saRNA exhibited a 30% more efficient antitumor response than the current treatment gemcitabine.

Furthermore, the study reported no signs of blood toxicity from the P19-C/EBP α -saRNA treatment with normal hemoglobin, white blood cell, and platelet counts. In a gemcitabine-resistant pancreatic cancer xenograft model, P19-C/EBP α -saRNA induced a 40% decrease in tumor growth without toxic effects²⁹.

The study by Zhao et al. provides strong evidence supporting the efficacy of C/EBP α -saRNA conjugated to cancer-specific aptamers in pancreatic cancer. Treatment with the conjugated saRNA led to a threefold increase in protein levels, elevated p21 expression, and an 80% reduction in tumor cell proliferation. These findings were further supported by experiments using luciferase-labeled tumor xenografts in SCID mice, where P19-C/EBP α -saRNA treatment resulted in significant reduction in tumor volume and demonstrated a 30% greater effect than the current standard of care (gemcitabine). In the model resistant to gemcitabine, the conjugate induced a 40% tumor reduction without observed toxicity²⁹. However, despite these encouraging results, the study does not report clinical outcomes or success rates in human trials, as the findings remain strictly preclinical. There are also several limitations in the study. It was performed in small groups with immunocompromised mice, which may not be able to accurately replicate the complexities of human immune responses. The findings are also preclinical and have not yet progressed into human trials, meaning that their efficacy in humans is largely unknown. Although there are promising early-stage results, further research is needed in larger groups of non-immunocompromised animal models and in clinical trials to determine the feasibility and safety of this approach in patients. The current state of evidence is mostly preclinical and requires more rigorous evaluation before clinical implementation.

Challenges in saRNA application

The therapeutic potential of saRNA is very promising due to its precise gene activation mechanism and small size. Due to its

small size, it is much easier for saRNAs to be chemically synthesized in large amounts. However, several challenges still have to be addressed before the wide usage of saRNA in medicine, including risks of immune response activation, off-target effects, and poor stability. Small dsRNAs are often prone to instability in serum, with very little half-life due to nuclease degradation. Therefore, there have been efforts to modify and increase the nuclease resistance for efficient saRNA usage⁶. Place et al. attempted to incorporate 2-fluoro into both sense and antisense strands to saRNA, which improved saRNA stability. However, it did decrease the gene activation mechanism when applied to both strands³¹. The researchers found that restricting modifications to only the guide strand does not affect the efficiency of gene activation⁶. Additionally, locked nucleic acid modifications were applied to saRNAs, which usually decreased the activity. They also found the gene activation efficiency can be restored to similar levels when applied to both ends of the sense strand.

Another possible modification is adding a 5 inverted abasic modification to the sense strand, enhancing saRNA activity with better antisense strand loading⁶. Furthermore, because saRNA is a dsRNA, it also has the potential to be immunogenic. Efforts have been made to mute this issue, such as 2 fluoro and 2-O-methyl modifications. Place et al. have shown that 2-fluoro modifications on cytidines and uridines in the guide strand can significantly inhibit the immune activation of saRNA³¹. Voutila et al. have also shown that incorporating 2-O- methyl modifications on the passenger strand and overhangs on the guide strand can abolish the immune activation effect of saRNA³².

Additionally, saRNA can induce off-target effects like siRNA⁶. To prevent this, various strategies have been developed to ensure the specificity of saRNA for its intended target site. Voutila et al. analyzed the seed sequence of the saRNA to predict complementary binding sites through bioinformatics. Experiments can then determine whether the saRNA causes activation at those sites³². Finally, while saRNA has the potential to be an effective treatment option, development of methods to deliver the saRNA is still crucial for any therapeutic application. Naked saRNA has significant challenges in vivo due to its susceptibility to degradation by nucleases. Its nucleobases face the inside, exposing the negatively charged phosphate backbone on the outside, hindering interactions between saRNA and the cell membrane⁶.

MicroRNAs

Mechanism of MicroRNAs

MicroRNAs (miRNAs) are small, endogenous RNAs 21-25 nucleotides long that can target specific mRNAs for either degradation or translation repression. Scientific advancements have revealed the regulatory mechanism of miRNAs in animals, including *C. elegans* and *D. melanogaster*⁷.

miRNAs guide the microRNA-induced silencing complex (miRISC) to recognize mRNA and downregulate gene expression through translational repression and mRNA cleavage. (Wahid, et al 2010). The degree of miRNA-mRNA complementarity is crucial in determining the regulatory mechanism process of miRNAs. Complementarity refers to the extent to which the nucleotide sequence of the miRNA matches with the sequence of the target mRNA. High complementarity usually leads to mRNA cleavage and degradation through Ago proteins, while central complementarity prevents cleavage and promotes translational repression of target mRNA. Once miRISC binds to target mRNAs, the degree of miRNA-mRNA complementarity either facilitates Ago-catalyzed degradation or translation repression of target mRNA sequences⁷.

One mechanism by which miRNA decreases gene expression is through translational repression; however, it is unknown whether this decrease in expression occurs during translational initiation or translational levels. A popular model points to the eIF4F complex as the initiating factor in translation. This complex has four subunits, eIF4A, eIF4E, and eIF4G, that can recognize the 5 cap of mRNA, triggering translation initiation. Another initiation factor, eIF3, binds with eIF4G, assembling the 40S ribosomal subunit at the 5 end of the mRNA. This binding forms a pre-initiation complex that joins with the 60S ribosomal subunit at the mRNAs start codon to initiate translation. Further, the polyA-binding protein PABP1 interacts with eIF4G and eIF3, increasing translation efficiency⁷. A study by Petersen et al. found that miRISC can repress translation by repressing this elongation process. Their analysis showed that blocking initiation with hippuristanol, a potent inhibitor of eIF4A, in the presence of miRNAs led to ribosome dissociation³³. This implies that miRISC can prompt ribosome detachment, therefore repressing any elongation.

There are three main proposed models for the mechanism of miRISC-mediated inhibition of transcription initiation⁷. The first model hypothesizes that miRISC competes with eIF4e for the 5 cap-binding site on mRNA, slowing translation initiation. In this model, the protein GW182 or another downstream factor is proposed as a candidate for the eIF4e competitor. The second model suggests that miRISC prevents mRNA circularization, thus inhibiting efficient translation. A proposed complex is the CCR4-NOT complex, which is hypothesized to contribute to translation inhibition by miRISC. Finally, the third model suggests that miRISC can prevent the 60S ribosomal subunit from joining the 40S preinitiation complex. The 40S ribosomes are attached to the target mRNA, but the 60S subunits cannot join, repressing translation.

Another pathway for miRNA-mediated repression involves the accumulation of target mRNAs in processing bodies (P-bodies), which lack machinery for translation. When miRNA guides mRNA to the P-bodies, the accumulation without ribosomes can lead to translation repression⁷. miRNAs may increase

the amount of ribosome-free mRNA, blocking translation and promoting mRNA degradation in P-bodies.

miRNAs with high sequence complementarity can facilitate target mRNA degradation through Ago protein activity. mRNA levels decrease with an increase of miRNAs, so miRNAs likely have a direct role in mRNA degradation⁷. Many mechanisms are involved in the Ago-catalyzed mRNA degradation process, including deadenylation, decapping, and exonucleolytic digestion of mRNA⁷. Deadenylation shortens mRNA's poly(A)-tail in eukaryotic cells. It plays a significant role in mRNA translation inhibition and degradation³⁴. mRNA degradation also requires Ago2, GW182, and other cellular organelles in the previous processes. However, the exact method by which mRNAs select targets for degradation has yet to be fully understood or determined. It is known that specific factors influence the process in the miRNA/RNA duplex, including the number, type, and position of the mismatches. The variation in complementarity is essential for determining if the miRNA will degrade or inhibit the mRNA's translation⁷.

miRNAs regulate gene expression by guiding the miRISC to target mRNAs, leading to either translational repression or mRNA degradation. This outcome is determined by the degree of miRNA-mRNA complementarity: where high complementarity triggers mRNA cleavage, while partial complementarity causes translational repression. In cases of high complementarity, Ago proteins initiate mRNA degradation through processes like deadenylation and decapping.

Applications of miRNAs in thoracic cancer treatment

miRNAs have gained significant attention for causing gene expression changes in cancer as they function as oncogenes (oncomiRs) or tumor suppressors (oncosuppressor miRs). Their dysregulation is closely linked with cancer initiation, progression, and metastasis. In cancer, normal cells progressively develop into malignant cells by undergoing tumorigenesis, becoming malignant, and initiating cancer³⁵. Therefore, correcting miRNA expression is a potential treatment pathway for cancer patients. Currently, most therapeutic approaches focus on miRNA replacement using miRNA mimics designed to replenish the oncosuppressor miRs. These dsRNA molecules have previously restored tumor suppressor function in various cancer models³⁶.

Lung cancer was one of the first diseases that miRNA mimic-based therapy was explored in. Most previous studies concentrated on specific miRNA families: let-7, miR-34, and miR-15/16³⁶. The let-7 family is known to regulate the rat sarcoma (RAS) oncogene and lung cancer cell growth. Synthetic let-7b was tested for tumor control in vivo and showed potential in tumor growth inhibition through cell cycle inhibition. miR-34a, popularly known as a tumor suppressor, reduced tumor growth after intratumoral and intravenous administration. miR-34a acts as a tumor suppressor through repression of proteins involved in

regulating the cell cycle and apoptosis³⁶. Further, the delivery of let-7 and miR-34a mimics led to significant inhibition of lung tumors after eight systemic injections³⁶. These promising results with let-7 and miR-34a in lung cancer models have provided the backbone for researchers to explore similar miRNA-based therapies in other cancers, such as malignant pleural mesothelioma (MPM).

MPM is a rare form of cancer that grows in the membrane of the walls of the chest and lungs, and is mainly caused by asbestos exposure. Asbestos refers to tiny, airborne particles that settle in the lungs when inhaled. Because these particles are too harsh to be broken down, they can cause scarring, inflammation, and cell changes in the lungs over time³⁷. Reid et al. examined miRNA expression in MPM and found the downregulation of tumor-suppressive miRNAs³⁶. One particular miRNA that was significantly reduced in MPM patient samples was miR-16. When the expression of miR-16 in MPM cells was restored through the downregulation of BCL2 and cyclin D1 (CCND1), apoptosis was induced. In addition, the in vivo activity of miR-193a-3p mimics can cause the downregulation of myeloid leukemia 1 (MCL-1), an apoptosis regulator³⁶. In MPM tumors and cells, Reid et al. found consistent downregulation of miR-16 and the miR-15/107 group. Based on this observation of the downregulation of miR-16 and the miR-15/107 family in MPM and the efficacy of miRNA mimics in previous studies, further advancements, such as developing the MesomiR-1 clinical trial, have been pursued.

MesomiR-1 is a Phase I trial that tested the efficacy of TargomiRs in treating patients with MPM. The trial began in December 2014 and is currently nearing completion as of 2024. TargomiRs use patented miRNA mimics with the miR-15/107 family's sequence packaged in EnGeneIC dream vector nanocells (EDVs). These are nonviable minicells produced by de-repressing sites of cell division in bacteria. EDVs are coated with bispecific antibodies for delivery, with one arm designated for binding to a receptor on the surface of cancer cells. They then bind to overexpressed target receptors on tumor cells and participate in endocytosis³⁶. The trial started by testing 5x10⁹ TargomiRs through a 20-minute intravenous infusion once a week. Currently, 18 patients with MPM have been tested with this clinical trial and have received different doses. TargomiRs were generally well received, as most patients experienced mild inflammatory responses post-infusion.

Current data show that disease control was achieved in five out of six patients after they underwent 8 weeks of the treatment³⁶. One specific patient even experienced significantly reduced tumor size following the treatment. This patient had previously undergone pleurodesis and chemotherapy but progressed further after treatment. His therapy began with a lower dose due to elevated baseline IL-6 levels and eventually escalated to a total 5x10⁹ dose over eight weeks. After eight weeks, the tumor size decreased, so the patient continued the therapy for over

40 more weeks, emphasizing the potential for this therapy to control tumor growth and treatment for cancers with significant miRNA dysregulation³⁶.

Unlike MRX34, which was terminated in Phase I due to severe immune toxicities³⁸, MesomiR-1 has shown more favorable patient outcomes. Because MRX34 used liposomal nanoparticles as their method for delivery, it triggered strong immune responses, led to various immune-related adverse effects, and resulted in four patient deaths³⁸. On the other hand, MesomiR-1 uses EDV nanocells coated with antibodies for more targeted delivery. This enhances tumor-specific uptakes and minimizes the risk of toxicity, making it a safer miRNA-based therapy for MPM.

The therapeutic use of miRNA mimics in cancer, particularly in MPM, demonstrates a promising form of treatment. In the MesomiR-1 Phase I clinical trial, miRNA mimics from the miR-15/107 family were delivered via EDV nanocells to patients with MPM. The trial involved 18 patients and began with weekly intravenous infusion of 5x10 TargomiRs. The trial reported disease control in 5 out of 6 patients after eight weeks of treatment, suggesting a favorable rate of initial positive responses. One notable case is of a patient with chemotherapy-resistant MPM that exhibited marked tumor size reduction and remained on the therapy for over 40 weeks, highlighting the potential for meaningful clinical outcomes. Several limitations must still be considered when interpreting these results. The sample size is small and is not randomized, limiting the variety of outcomes. The trial is part of clinical studies, as it is still in Phase I. Phase I primarily assesses the safety and tolerability of the treatment rather than the efficacy, so the long-term benefits remain uncertain. Furthermore, because MPM is a rare form of cancer, it will be more challenging to set up larger trials, slowing development. The current clinical potential of miRNA mimic therapy for MPM is optimistic, as the early results demonstrate the practicality of delivery using EDVs. Because the current trial is in an exploratory stage, broader clinical implementation will require further trials with larger patient populations and longer follow-up periods.

Challenges in miRNA application

Delivering miRNA effectively is challenging due to some specific properties of RNA oligonucleotides that impact their stability, cell entry, and target specificity⁹. First, unmodified miRNAs face rapid degradation in the bloodstream due to their exposed 2-OH group in the ribose, making them highly susceptible to nucleases like RNase A. The kidneys also quickly excrete them, limiting their half-life and therapeutic potential. One attempt to counteract this issue is the modification of the phosphodiester backbone and the position of 2 ribose. These modifications improve the stability of the RNA oligonucleotides, increase binding affinity to the target, and load into the miRISC⁹. miRNA also has the challenge of poor penetration into target tissues. Because the veins in tumors are usually not as stable, there

is inadequate blood flow and limited delivery of unmodified miRNAs.

There have been some solutions to this issue of inadequate delivery of unmodified miRNAs, including developing several delivery systems. Nanocarriers like liposomes and polymeric nanoparticles utilize enhanced permeability and retention to improve targeting, conjugating miRNAs with molecules like sugars or peptides to increase tissue specificity, and using exosomes and adenoviral vectors for in vivo delivery as some examples of the modified delivery systems. These methods help address miRNAs poor stability and negative charge, which usually limits tissue penetration⁹. Finally, once miRNAs are delivered into the cytoplasm, there is potential for off-target effects. Because miRNAs bind imperfectly to the 3 UTRs of multiple genes, they can unintentionally silence non-target genes, leading to off-target effects and reduced therapeutic efficiency. Also, individual miRNAs can target various mRNAs, which can increase the risk of unexpected side effects. Even when miRNAs successfully target a specific gene, there is still a chance for unintended on-target impacts to occur. Some mitigation methods for these risks are combination therapies that contain low doses of complementary miRNAs⁹.

CRISPR/Cas System

Introduction to CRISPR/Cas

CRISPR (Clustered Regularly Interspaced Short Palindromic Repeat) and their associated proteins (called Cas proteins) are a prokaryotic immune system that integrates short sequences of invading genomes (called spacers) into the CRISPR genomic locus. Their associated proteins can target and destroy the associated nucleic acids, providing immunity against viruses, plasmids, and other genetic elements³⁹. There are thousands of CRISPR systems across all genomes, but most consist of an AT-rich leading sequence followed by identical short repeats interspaced with spacers complementary to mobile genetic elements (MGEs)⁴⁰. This complementarity produces CRISPR RNAs (crRNAs), which target and disable the MGEs. The crRNAs are first transcribed as longer precursor CRISPR RNAs (pre-crRNAs) but then processed into mature guides for targeting and disabling foreign genetic material with high specificity. The CRISPR loci are bordered by Cas genes that encode proteins in the CRISPR-Cas mechanism.

The Cas proteins fall into two main categories: those for adaptation and those for effector modules. Cas1 and Cas2 are universal across all CRISPR systems, which is crucial in acquiring spacers. There are two classes in effector modules: Class I and Class II⁴¹. Class I consists of types I, III, and IV and uses multiprotein complexes for interference, while class II systems consist of types II, V, and VI and use a single effector protein for targeting and neutralizing foreign genetic elements⁴⁰.

Mechanism of CRISPR/Cas system

The first phase of the mechanism of the CRISPR/Cas system is the integration of a sequence from an invading genetic element (called protospacers) into the CRISPR array, forming a new spacer⁴⁰. This helps the host organism to remember the intruders DNA. Cas1 and Cas2 usually do this process across CRISPR-Cas types. The mechanism of spacer acquisition is not currently fully understood because of the selection of protospacers and their processing before integration, as this process varies and remains unclear across the different CRISPR Cas/types⁴⁰. However, some studies have shown that Cas1 and Cas2 of the type I system of *E. coli* form a complex that promotes the integration of new spacers through a process similar to viral enzymes. A new spacer is usually placed at the leader-repeat boundary of the CRISPR array, while the first repeat is duplicated.

Specific CRISPR/Cas types have unique spacer acquisition requirements in addition to Cas1 and Cas2. For example, type I-B requires the presence of Cas4 for adaptation, and type II-A requires Csn2, Cas9, and tracrRNA (trans-activating CRISPR RNA)⁴⁰. Further, the selection of target sequences integrated into the CRISPR locus is highly specific and guided by the presence of the protospacer adjacent motif (PAM), which is crucial for efficient spacer acquisition in types I, II, and V systems. In type II-A, the PAM helps guide Cas1, Cas2, and Csn2 to integrate new spacers⁴⁰. In type I-E systems, Cas1 and Cas2 work independently, but the PAM improves spacer integration. Additionally, type I systems use a process called priming, where crRNA-guided binding to a protospacer boosts the acquisition of new spacers, making the process more efficient⁴⁰.

In the next stage, biogenesis, the CRISPR array is first transcribed into a long precursor crRNA (pre-crRNA) that is then processed into guide crRNAs that contain sequences from previous invaders⁴⁰. For type I and III systems, Cas6 proteins process pre-crRNA and create intermediate crRNAs with a short 5 tag. The 3 end is trimmed to produce the mature crRNAs with a complete spacer on the 5 end and a repeat on the 3 end⁴⁰. However, this process is different in class 2 CRISPR/Cas systems. Type II CRISPR/Cas systems rely on tracrRNA to form an RNA duplex with each of the repeats of the pre-crRNA. The host RNase III then recognizes and processes the duplex, creating an intermediate crRNA that undergoes further maturation⁴⁰. On the other hand, in type II-C CRISPR/Cas systems, transcription initiation occurs within repeats without interference of RNase III⁴⁰. In addition, in type V-A CRISPR/Cas systems, Cpf1 (a type V CRISPR effector protein) processes pre-crRNAs and then uses the processed crRNAs to cleave target DNA⁴⁰.

In the final stage of CRISPR, mature crRNAs act as guides to specifically interfere with invading nucleic acids⁴⁰. The exact mechanism of this process differs across the different CRISPR/Cas systems and classes. Class 1 systems utilize CRISPR-associated complex for antiviral defense (Cascade) complexes to achieve degradation, while class 2 systems use a single effector protein for interference⁴⁰. Type I, II, and V

CRISPR/Cas systems rely on the PAM sequence to prevent self-targeting and degradation, while type III systems use a 5 tag on the mature crRNA. Cascade locates the target DNA in type I systems and recruits Cas3 to degrade it by inducing a nick on the foreign DNA. The tracrRNA + crRNA duplex in type II systems guides Cas9 in introducing a double-strand break in the target DNA. In type III systems, Cas10-Csm and Cas10-Cmr complexes target DNA and RNA with transcription-dependent targeting. Cas10 cleaves the DNA, while Csm3 and Cmr4 cleave transcribed mRNA. In type V systems, an RNA duplex of tracrRNA and crRNA are required for target interference and degradation⁴⁰.

The CRISPR/Cas system enables precise gene editing by defending against genetic intruders in three stages. First, Cas1 and Cas2 integrate sequences from invaders into the CRISPR array and form spacers that help the host remember the intruders DNA. In the next phase, these spacers are transcribed into pre-crRNAs and are processed into mature crRNAs that guide interference. In the interference stage, crRNAs direct the Cascade complexes to degrade invading genetic material.

However, when adapted for gene editing, CRISPR/Cas9 works somewhat differently than it does in nature as protection against viral invaders. Initially discovered in the DNA of *Escherichia coli* in 1987 by scientists at Osaka University, CRISPR sequences were not fully understood until later research revealed their role in bacterial immune defense⁴². The significance of these sequences was initially unclear, but further research in bacterial genotyping revealed CRISPR locis potential for differentiating bacterial strains. In 1995, Francisco Mojica discovered similar DNA patterns in the genomes of archaea, causing him to hypothesize that CRISPR functioned as an immune system by incorporating viral DNA to help bacteria and archaea defend against viruses⁴². However, the key turning point that caused CRISPR to be a leading gene-editing tool was the identification of the Cas9 protein⁴². Researchers identified that when guided by crRNA, Cas9 was able to target and cut specific DNA sequences. tracrRNA was then found to be essential for crRNA processing and Cas9 function⁴². Through these discoveries, scientists developed CRISPR/Cas systems as a tool for precise genome editing. The mechanism of cutting specific DNA sequences varies from CRISPRs naturally occurring mechanism. The Cas9 protein complex has several functional domains that enable it to target and cut specific DNA sequences with high precision⁴³. The recognition 1 (REC1) domain binds to the guide RNA (gRNA), while a bridge helix triggers cleavage when targeting the DNA. The PAM-interacting domain of Cas9 recognizes the sequence (5-NGG-3) near the target site. When gRNA binds to Cas9, it activates the protein, causing the protein to search for a DNA sequence that matches the PAM. Cas9 then uses the Histidine-Asparagine-Histidine (HNH) and RecU-like resolvase (RuvC) nuclease domains to create a double-stranded break in the DNA⁴³.

Applications of CRISPR/Cas9 in sickle cell anemia treatment

Sickle cell disease (SCD) is an autosomal recessive disorder caused by mutations in the HBB gene, which encodes the β -globin subunit of adult hemoglobin⁴⁴. The most common mutation, a substitution of p.Glu6Val, results in sickle hemoglobin (HbS) which polymerizes under hypoxic conditions. When the HbS polymerizes, it causes red blood cells (RBCs) to become sickle-shaped and fragile, leading to chronic anemia, recurrent pain, multiorgan damage, and increased risk of early fatality⁴⁴. Current treatments for SCD, such as hydroxyurea blood transfusions, and newer drugs, can provide only partial symptom relief⁴⁴. OTQ923 is an autologous, CRISPR-Cas9-edited CD34+ hematopoietic stem cells (HSC) product that disrupts the hemoglobin subunit gamma 1 (HBG1)/ hemoglobin subunit gamma 2 (HGB2) promoters by utilizing a ribonucleoprotein complex of Cas9 and gRNA-68. gRNA-68 suppresses HBG1 and HBG2 transcription by targeting a site 246 base pairs upstream of the TSS for each gene⁴⁴. Some preclinical testing showed that by introducing a gRNA-68-Cas9 ribonucleoprotein complex into CD34+ HSCs, there was a successful production of fetal hemoglobin in RBCs.

In a clinical trial by researchers Sharma et al., three participants with severe SCD received a single infusion of OTQ923, resulting in increases in total hemoglobin, fetal hemoglobin, and F-cell levels as well as no detectable off-target effects⁴⁴. In preparation for the study, the participants received monthly red-cell exchange transfusions for at least two months prior to CD34+ cell collection. CD34+ HSCs were mobilized, collected, cryopreserved, and shipped to a manufacturing facility. After thawing, these cells were electroporated with the CRISPR-Cas9-gRNA-68 ribonucleoprotein complex to create OTQ923. Further, before OTQ923 infusion, participants went through myeloablative conditioning with busulfan⁴⁴.

Participants did experience some vaso-occlusive episodes post-infusion, however; these events were minimal and occur throughout various studies showing fetal hemoglobin induction⁴⁴. Participants 1 and 2 displayed stable or improved cardiac, pulmonary, and renal function 12 months post-infusion, which suggests protection against organ damage. However, they also displayed worsened osteonecrosis, potentially linked to exposure to busulfan or ongoing SC damage⁴⁴. Furthermore, despite improvements in total hemoglobin and RBC counts and reduced symptoms, all participants displayed mild hemolysis. This indicates that fetal hemoglobin levels were insufficient to fully prevent sickle hemoglobin polymerization⁴⁴. Bone marrow assessments displayed balanced trilineage hematopoiesis without dysplasia, as well as a rise in total hemoglobin, highlighting improved hematopoiesis after OTQ923 infusion. This total hemoglobin increase has led to clinical improvement for all three participants; however, the observed fetal hemoglobin induction did not fully resolve the disease⁴⁴. The findings of

this clinical trial indicate that Cas9-mediated disruption of the HBG1 and HBG2 promoters in HSCs from individuals with SCD led to an increase in RBC fetal hemoglobin and a partial correction of the disease⁴⁴.

The use of CRISPR/Cas9 to treat SCD using HbF induction has shown encouraging results in early-stage clinical trials. In the trial conducted by Sharma et al., three patients with severe SCD received a single infusion of gene-edited CD34+ HSCs, resulting in notable increases in total hemoglobin, HbF levels, and F-cell percentages. No off-target edits were detected and later bone marrow assessments showed balanced trilineage hematopoiesis without dysplasia. Additionally, two out of three participants demonstrated stable or improved cardiac, pulmonary, and renal function at 12 months post infusion, suggesting positive clinical outcomes⁴⁴. Despite these outcomes, there are several limitations. The sample size was extremely small with only three participants, and the study lacked a control group. Second, while the observed mild hemolysis in the participants indicates an induction of HbF, it may not be sufficient to fully inhibit sickle hemoglobin polymerization. Some patients also experienced worsened osteonecrosis, likely due to the busulfan conditioning regimen, raising safety concerns. While these findings demonstrate early clinical-stage success, the long-term durability of the therapeutic effect is unknown. CRISPR/Cas9 gene editing can be potentially curative for SCD by addressing the disease at the root but further research with larger groups and optimization of conditioning is essential before clinical implementation.

Challenges in CRISPR/Cas application

In order for the CRISPR/Cas mechanism to efficiently edit the genome, there are some challenges that need to be considered and overcome such as the selection of the target sites and mitigation of off-target effects and the efficiency of homology-directed repair¹⁰. Although CRISPR-Cas systems utilize the PAM sequences and guide cRNA, they have a high off-target cleavage rate of more than 50%. These off-target effects can compromise the stability and function of normal genes. One of the major causes of these unintended effects is the sgRNA (single guide RNA) with involvement from PAM sequences. Selecting sgRNA with optimal DNA targets is crucial to minimize off-target activity. Some efficient computational tools are able to evaluate sequence composition, GC content, nucleotide position, genetic and epigenetic features, and properties to choose efficient sgRNA¹⁰. In addition, there have been several methods developed to detect off-targets such as the T7 endonuclease 1 (T7E1) assay, deep sequencing, in silico prediction, ChIP-seq, and GUIDE-seq. Other approaches such as sgRNA truncation, use of high-fidelity Cas9 variants, use of high-quality reference genomes, and reduction of nuclease expression can also lower off-target activity. Another issue in CRISPR/Cas application is the efficiency of homology-directed repair (HDR)¹⁰.

Non-homologous end joining (NHEJ) often causes errors in CRISPR/Cas application. For example, in mice, HDR efficiency is very low (0.5-20%), while NHEJ repair is more frequent (20-60%). Some methods of increasing HDR efficiency or reducing NHEJ occurrences include inhibiting key NHEJ enzymes like DNA ligase IV, or enhancing HDR using siRNA¹⁰.

Ethical, Legal, and Social Implications of Gene Editing

Cost and accessibility of gene therapies

One of the most pressing issues regarding gene editing therapies is their cost, which often places life-saving treatments economically out of reach for many patients. For example, Zolgensma, a gene therapy for spinal muscular atrophy (SMA) is priced at \$2 million per dose. Despite it being a one-time therapy that can replace the defective SMN1 gene, the high price places a burden on families, even those with insurance. Patients who fail to meet insurance requirements or lack the money to cover the cost are then unable to access treatment, resulting in a life-or-death outcome⁴⁵. This high cost stems from several factors. The development of gene therapies is extremely expensive and often costs billions of dollars to complete. The regulation, patent processing, and clinical trials themselves further contribute to high treatment costs. Additionally, because gene therapy targets a small population size, price per treatment is increased to justify continued production⁴⁶. As a result, the companies pass this financial risk onto patients and include it in the treatment cost. Another example includes Glybera, a gene therapy approved in Europe for lipoprotein lipase deficiency. This treatment was priced over \$1 million but was eventually withdrawn from the market due to insufficient patient demand at that cost⁴⁶. This presents a major ethical issue, as although gene therapies have the potential to cure devastating diseases, only a select few can benefit. As treatment access becomes tied to economic status, existing inequalities in healthcare access are exacerbated.

Ethics and safety of germline editing

Another significant possible application of gene editing technologies such as CRISPR is their potential for germline modification. Unlike somatic genome editing, which is the alteration of cells that cannot be passed on from the individual to offspring, germline genome editing refers to genome editing in a germ cell or embryo that can result to changes passed down from the modified individual to the offspring. Because germline modifications do not just affect the patient, but also affect all future generations, there are major ethical concerns regarding their long-term consequences⁴⁷. CRISPR/Cas therapies pose high risks of off-target effects and mosaicism. CRISPR tends to edit unintended parts of the genome, potentially leading to

harmful mutations. Mosaicism can also arise when only some cells carry the intended genetic modifications, resulting in a mix of edited and unedited cells⁴⁷. The irreversibility of germline editing increases the concern of the ethics and safety of germline editing. If an off-target effect or mosaicism occurs, the mutation can be inherited by future generations. This increases and amplifies harm over time. The Lulu and Nana Controversy occurred illustrating the consequences of overlooking these risks. In 2018, Chinese scientist He Jiankui controversially edited the genomes of two human embryos. He used CRISPR/Cas9 technology to alter the C-C chemokine receptor 5 (CCR5) gene in embryos in an attempt to make the children's cells resistant to infection by human immunodeficiency virus (HIV). His actions were widely condemned by researchers across the world for bypassing the ethical concerns regarding germline genome editing⁴⁸.

In addition to safety risks, ethical concerns about consent further complicate germline genome editing. Future individuals whose genomes are modified cannot consent to every cell in their bodies being affected by past germline genome editing. Unlike typical medical decisions made by parents for their children, choices made by germline editing extend far beyond treatment and alter a person's genetic makeup before they are even born⁴⁷. This raises ethical issues regarding a person's autonomy and bodily integrity. The inability to obtain consent from those most affected emphasizes a significant moral limitation of germline editing.

Social implications of gene editing: eugenics

One major social implication of genetic enhancement through gene editing is eugenics. While gene editing can be applied therapeutically to treat or prevent disease, the shift toward enhancement by modifying physical, cognitive, or behavioral traits raise significant concerns about societal pressures and inequalities⁴⁹. Tools like CRISPR make it increasingly easier to alter traits, causing the line between therapy and enhancement to blur. This can potentially pave the way for a modern form of eugenics where certain genetic traits are prioritized over others⁴⁹. The possibility of using genome editing to design better humans risks reinforcing existing social inequalities, undermining diversity, and stigmatizing individuals with disabilities. Enhancement-based eugenics can lead to a society where traits such as intelligence, appearance, or athleticism are accessible only to those with financial means, exacerbating socioeconomic inequalities. A focus on genetic perfection can also decrease human variation, increasing discrimination against those with non-enhanced traits (Friedmann, 2019). Over time, this could create a society where individuals are judged and valued based on their genetic profile, rather than their character, deepening social divides and inequalities.

Current regulations towards gene editing

The controversial case of He Jiankui's experiment with the first gene-edited babies, explained previously in the paper, emphasized an urgent need for regulatory frameworks around genome editing. Several international organizations such as the U.S. National Academies of Sciences, Engineering, and Medicine and the U.K.'s Royal Society promoted the creation of comprehensive guidelines governing somatic and germline editing⁴⁶. In the United States, regulations remain particularly strict, with the Dickey-Wicker Amendment introducing a federal ban on funding germline editing research. Similarly, many countries such as the EU, UK, Australia, and Brazil have frameworks that support somatic gene therapy but prohibit clinical germline applications⁴⁶. However, uneven regulation in countries such as China and Russia have sparked global concern over research ethics and participant safety. In response, organizations like the World Health Organization and international scientific committees have grouped together to call for a global regulatory framework to ensure that gene editing is both ethical and safe⁴⁶.

Discussion

Summary of current knowledge regarding mechanisms, applications, and challenges of the techniques

Each of the previously covered gene alteration techniques—siRNAs, saRNAs, miRNAs, and CRISPR/Cas systems present unique challenges and advantages, making them suitable for various treatment goals. siRNAs, by targeting and degrading mRNA, are desirable in diseases that are impacted when there is a reduction of overactive or harmful proteins. siRNAs are also ideal in disorders where gene expression regulation is beneficial without the need for permanent alteration in the genome, such as in ischemic strokes. In the case of ischemic strokes, siRNAs are able to target and silence specific mRNA to reduce the production of proteins involved in cell death and inflammation following a stroke. By silencing these genes, siRNAs can reduce the damage that worsens stroke outcomes. With the precise targeting of siRNAs, it also mitigates any adverse effects on healthy cells and concentrates its effects to the affected brain region. Even though both siRNAs and miRNAs offer silencing, when compared to miRNAs, siRNAs offer greater specificity. They are designed to perfectly match their target mRNA, minimizing off-target effects and enhancing tissue-specific silencing. The maintenance of healthy neurons in the brain is critical, so minimizing off-target effects as much as possible is essential.

In contrast, saRNAs are able to upregulate gene expression, which is suitable for diseases where increasing the expression of a beneficial gene can restore normal function or provide therapeutic relief. In the case of pancreatic cancer, they are able to target genes associated with tumor suppression and upregu-

late genes that combat tumor growth. This mechanism allows saRNAs to enhance the body's defenses against cancerous cells. Unlike other treatments that attack both healthy and cancerous cells, saRNAs are more targeted to a specific site and have a lower risk of damaging non-tumor cells. miRNAs are able to target multiple genes within a pathway, which is advantageous in diseases where multiple genes contribute to the development of the disorder, such as thoracic cancer. miRNAs can treat thoracic cancer by modulating gene expression linked to cancer progression. They can downregulate oncogenes or enhance tumor suppressor genes, disrupting pathways that support tumor survival and spread. By disrupting these pathways, miRNAs can limit disease progression and restore healthier cellular functions. In addition, because miRNAs are able to target multiple genes within cancer-driving pathways simultaneously, the treatment approach has potential to be more effective. Finally, the CRISPR/Cas system is very desirable for achieving permanent gene correction, which is beneficial for genetic disorders caused by specific mutations like sickle cell anemia. CRISPR's ability to edit DNA directly provides an approach that is long-lasting and leads to irreversible modifications of the genome. CRISPR technology holds large potential in treating sickle cell anemia by directly editing the HBB gene, which codes for the abnormal hemoglobin in sickle cell anemia. CRISPR is able to restore normal hemoglobin production and reduce the formation of sickle-shaped cells, allowing CRISPR to address the disease's main cause, not just manage symptoms. Furthermore, by correcting the mutation in HSCs, healthy red blood cells are produced; however, the permanence of the CRISPR/Cas system comes with issues of off-target effects and efficiency of repair.

A comparison of these techniques in terms of their mechanisms of action, delivery challenges, development stages, and primary applications is essential. The following summary (Table 1) outlines these features to provide a comprehensive side-by-side comparison of their potential and roles in medical research.

Each of the gene therapy techniques face distinct challenges that limit their therapeutic potential. For siRNAs, major obstacles can include poor stability in biological environments and inefficient delivery, leading to degradation before reaching target cells and unintended off-target effects. saRNAs face challenges in achieving stable and specific gene activation, as well as avoiding possible degradation by nucleases and unintended activation. Similarly, miRNAs struggle with low stability, inefficient cellular uptake, and limited targeted specificity due to their ability to bind multiple mRNA targets. CRISPR/Cas systems face challenges related to the selection of target sites, potential for off-target DNA cleavage, and maintaining efficient DNA repair mechanisms.

To further clarify these differences in challenges, a side-by-side comparison of these techniques is shown in Table 2.

Beyond delivery and off-target effects, the diversity of disease mechanisms and patient responses poses a significant limitation

Table 1 Comparison of siRNA, saRNA, miRNA, and CRISPR/Cas in terms of mechanism, delivery challenges, clinical stage, and key applications.

Technique	Mechanism	Challenges	Clinical stage	Key Applications
siRNA	Binds and degrades complementary mRNA	Stability issues Immune response Delivery challenges	5 approved siRNA drugs with several in late-stage phase III trials	Inflammatory regulation
saRNA	Binds to promoter regions to increase transcription	Target site selection Off-target activation Delivery stability	Phase I to Phase II	Cancers Diseases involving underexpression
miRNA	Either degrades multiple mRNAs in a pathway or represses translation of the mRNAs	Broad target range Instability High risk of off-target effects	Early clinical stages, most are in either preclinical research or phase I-II trials	Cancers Diseases involving overexpression
CRISPR/Cas	Cuts and edits DNA at specific places	Off-target editing Immune response	Phase I to Phase II	Monogenic disorders Inherited conditions

Table 2 Comparison of gene editing techniques: siRNA, saRNA, miRNA, and CRISPR/Cas in terms of specificity, efficiency, advantages, and limitations.

Technique	Specificity	Efficiency	Advantages	Limitations
siRNA	Very high	High	Precise gene silencing Avoids permanent genome change Momentary effects	Delivery challenges Instability Immune responses
saRNA	Moderate to high	Moderate	Can upregulate beneficial genes Non-permanent	Off-target activation Instability
miRNA	Moderate	Moderate	Targets multiple genes in a pathway Good for complex diseases	Less specific High risk of off-target effects Delivery challenges Instability
CRISPR/Cas	High	Very high	Permanent correction of mutations Ideal for disorders controlled by a single gene	Off-target edits Immune responses Delivery challenges Ethical issues

to the generalizability of gene therapy techniques. For instance, CRISPR is limited to disorders caused by a singular mutation, such as sickle cell anemia, rather than polygenic diseases with more complex interactions between the genes. Additionally, genetic diversity among patients is also a limitation of treatment efficacy. A therapy effective for one population may not yield the same results in a different group due to differences in genetic backgrounds.

siRNAs, saRNAs, miRNAs, and the CRISPR/Cas system represent powerful tools in gene therapy, each offering unique mechanisms and therapeutic potentials for addressing diseases. siRNAs and miRNAs enable for specific silencing of gene expression, providing targeted approaches for managing conditions such as strokes and cancers which stem from an increased expression of harmful genes. saRNAs increase the activation of genes, giving them promise in the treatment of diseases like pancreatic cancer where the expression of a beneficial gene can help return the body to a normal function. CRISPR/Cas is able to use its precise gene-editing capabilities to treat diseases that stem from a mutation in a gene, such as sickle cell disease.

Current solutions to challenges

A significant challenge is the development of efficient, tissue-specific delivery systems that can transport therapeutic molecules to the desired cells without inducing any immune responses and maintain stability. Achieving tissue-specific delivery is also especially difficult in organs like the brain, where the BBB blocks many drugs from entering, or in cancers, where healthy and tumor cells may exist close together. Naked RNA is unstable in the bloodstream and can be rapidly broken down by nucleases, making target delivery even more difficult. To overcome these issues, novel delivery systems are being developed to improve cellular uptake and target specificity. These delivery systems include lipid nanoparticles, exosomes, polymer-based nanocarriers, adenoviral vectors, and aptamer-conjugated systems. For example, lipid nanoparticles have shown significant promise in RNA-based therapies where they encapsulate RNA molecules and shield them from nucleases. In cancer therapy, RNA aptamers have been conjugated to therapeutic molecules to enhance specificity for tumor cells. These innovations have been employed to enhance delivery and reduce enzymatic degradation for siRNAs, saRNAs, and miRNAs.

Another area of advancement is chemical modifications de-

signed to improve RNA stability, reduce immune responses, and increase target specificity. Modifications such as 2'-O-methylation, 2-fluoro and alteration of the 2' ribose position on the phosphodiester backbone have been used to enhance the durability and precision of RNA-based therapies. These modifications can prevent recognition by TLRs that may activate the immune system and can enhance affinity to target site. The alteration in the phosphodiester backbone helps to increase the half-life of the therapies and maintain gene-activating functions in the environment.

Computational approaches are also emerging as tools for reducing unintended effects. In CRISPR/Cas systems, a significant concern is the cleavage of off-target DNA. Machine learning models have been developed to predict sgRNA-DNA binding affinities, identify off-target sites, and optimize gRNA sequences for high specificity. These technologies not only improve the safety but also make development more efficient by optimizing sequences before testing.

Further research recommendations and priorities

To optimize the clinical success of gene therapy, several key areas should be prioritized in future research. The development of more efficient and tissue-specific delivery systems is a central challenge. Further research should explore forms of nanoparticles that not only protect RNA from degradation but also incorporate targeting specific ligands that bind to disease-specific cell markers. Also, there should be further research exploring overcoming certain barriers to delivery such as the BBB. Enhancing the specificity of gene regulation and editing is also crucial for safety and efficacy. This includes improving the design of gRNAs for CRISPR/Cas systems to avoid off-target cleavage. For RNA-based therapies, future studies can optimize the sequences to avoid partial complementarity with unintended mRNA sequences. Finally, further integration of AI and machine learning models into gene therapy development should be explored and prioritized. These tools can predict RNA-protein interactions, off-target sites, and optimal delivery strategies for high accuracy. These platforms can also simulate the therapeutic outcomes of editing specific genes to help prioritize target genes for a disease. Investing in these machine learning models can accelerate the discovery and creation of more precise and personalized gene therapies. Therefore, further collaboration between researchers in the interdisciplinary fields of biology, engineering, computer science, and medicine can solve current challenges and make gene therapies more effective.

Methods

To gather information for my analysis, a structured literature search was conducted through several scientific databases including PubMed, Scopus, and Google Scholar. This was further

supplemented by reputable medical websites such as the NIH and Cleveland Clinic for medical and clinical context. The primary search terms included various combinations of gene therapy, siRNA, saRNA, miRNA, CRISPR/Cas and key words related to mechanism, challenges, and therapeutic applications. Search results were filtered to prioritize peer-reviewed articles published between 2003 and 2024, with emphasis placed on recent studies for sections discussing delivery technologies and clinical progress to assess scientific credibility. Results were further refined through the usage of Boolean operators (e.g. siRNA AND stroke). Older studies were included when necessary to the foundational understanding of the therapeutic mechanisms and were cross-referenced with more recent publications to ensure relevance. Although this review does not follow a fully systematic review process such as PRISMA, certain elements were adapted to ensure a structured and transparent selection process. Studies were included based on their relevance to the research and contribution to understanding the mechanisms or clinical applications of gene therapy tools. Reference lists of articles were also reviewed to identify additional sources. Data extraction focused on identifying molecular targets and mechanisms, delivery innovations, therapeutic outcomes, study model (in vitro, in vivo, clinical), clinical trials, and key experimental results. The findings were synthesized using a narrative synthesis approach, which helped combine the underlying mechanisms, delivery, and clinical aspects of each gene therapy technique.

Acknowledgements

I would like to acknowledge and express my gratitude to my mentor, Lananh Ho, for her guidance and support in helping me organize, write, and format my research paper. Her help was essential throughout the whole process of writing this research paper and was instrumental in its completion.

References

- 1 B. E. Jaski, M. L. Jessup, D. M. Mancini, T. P. Cappola, D. F. Pauly, B. Greenberg, K. Borrow, H. Dittrich, K. M. Zsebo and R. J. Hajjar, *Journal of Cardiac Failure*, 2009, **15**, 171–181.
- 2 W. Filipowicz and J. Paszkowski, *Brenners Encyclopedia of Genetics*, Academic Press, 2nd edn, 2013, pp. 221–222.
- 3 S. M. Elbashir, J. Harborth, W. Lendeckel, A. Yalcin, K. Weber and T. Tuschl, *Nature*, 2001, **411**, 494–498.
- 4 N. Agrawal, P. V. N. Dasaradhi, A. Mohammed, P. Malhotra, R. K. Bhatnagar and S. K. Mukherjee, *Microbiology and Molecular Biology Reviews*, 2003, **67**, 657–686.
- 5 K. Gavrilov and W. M. Saltzman, *The Yale Journal of Biology and Medicine*, 2012, **85**, 187–200.
- 6 A. Kwok, N. Raulf and N. Habib, *Therapeutic Delivery*, 2019, **10**, 151–164.

- 7 F. Wahid, A. Shehzad, T. Khan and Y. Y. Kim, *Biochimica et Biophysica Acta (BBA) - Molecular Cell Research*, 2010, **1803**, 1231–1243.
- 8 M. Jie, T. Feng, W. Huang, M. Zhang, Y. Feng, H. Jiang and Z. Wen, *Genes*, 2021, **12**, 1–28.
- 9 M. Segal and F. J. Slack, *Expert Opinion on Drug Discovery*, 2020, **15**, 987–990.
- 10 N. Gohil, G. Bhattacharjee, N. L. Lam, S. D. Perli and V. Singh, *Progress in Molecular Biology and Translational Science*, Elsevier, 2021, vol. 180, pp. 141–151.
- 11 Y. Xu and Z. Li, *Computational and Structural Biotechnology Journal*, 2020, **18**, 2401–2415.
- 12 A. J. Hamilton and D. C. Baulcombe, *Science*, 1999, **286**, 950–952.
- 13 T. Tuschl, P. D. Zamore, R. Lehmann, D. P. Bartel and P. A. Sharp, *Genes & Development*, 1999, **13**, 3191–3197.
- 14 S. M. Elbashir, W. Lendeckel and T. Tuschl, *Genes & Development*, 2001, **15**, 188–200.
- 15 B. L. Bass, *Cell*, 2000, **101**, 235–238.
- 16 C. Lipardi, Q. Wei and B. M. Paterson, *Cell*, 2001, **107**, 297–307.
- 17 T. Sijen, J. Fleenor, F. Simmer, K. L. Thijssen, S. Parrish, L. Timmons, R. H. Plasterk and A. Fire, *Cell*, 2001, **107**, 465–476.
- 18 K. Ciechanowska, M. Pokornowska and A. Kurzyska-Kokorniak, *International Journal of Molecular Sciences*, 2021, **22**, 616.
- 19 L. Gherardini, G. Bardi, M. Gennaro and T. Pizzorusso, *Cellular and Molecular Life Sciences*, 2013, **71**, 1–20.
- 20 H. Kim, K. Cho, S. K. Lee and G. W. Kim, *Brain Research*, 2011, **1412**, 73–78.
- 21 P. Cowled and R. Fritidge, *Mechanisms of Vascular Disease: A Reference Book for Vascular Specialists*, 2011.
- 22 K. Yamamoto, K. Tateishi, Y. Kudo, T. Sato, S. Yamamoto, K. Miyabayashi, K. Matsusaka, Y. Asaoka, H. Ijichi, Y. Hirata, M. Otsuka, Y. Nakai, H. Isayama, T. Ikenoue, M. Kurokawa, M. Fukayama, N. Kokudo, M. Omata and K. Koike, *Carcinogenesis*, 2014, **35**, 2404–2414.
- 23 Z. He, R. P. Ostrowski, X. Sun, Q. Ma, B. Huang, Y. Zhan and J. H. Zhang, *American Heart Association Journals*, 2011, **43**, year.
- 24 K. T. Al-Jamal, L. Gherardini, G. Bardi, A. Nunes, C. Guo, C. Bussy, M. A. Herrero, A. Bianco, M. Prato, K. Kostarelos and T. Pizzorusso, *Proceedings of the National Academy of Sciences*, 2011, **108**, 10952–10957.
- 25 B. Tizon, S. Sahoo, H. Yu, S. Gauthier, A. R. Kumar, P. Mohan, M. Figliola, M. Pawlik, A. Grubb, Y. Uchiyama, U. Bandyopadhyay, A. M. Cuervo, R. A. Nixon and E. Levy, *PLoS ONE*, 2010, **5**, e9819.
- 26 E. Haghighi, S. S. Abolmaali, A. Dehsahri, S. A. M. Shaegh, N. Azarpira and A. M. Tamaddon, *Journal of Biotechnology*, 2024, **22**, year.
- 27 A. L. Jackson, S. R. Bartz, J. Schelter, S. V. Kobayashi, J. Burchard, M. Mao, B. Li, G. Caveat and P. S. Linsley, *Nature Biotechnology*, 2003, **21**, 636–637.
- 28 V. Portnoy, S. H. S. Lin, K. H. Li, A. Burlingame, Z. Hu, H. Li and L. Li, *Cell Research*, 2016, **26**, 320–335.
- 29 X. Zhao, J. Voutila, S. Ghobrial, N. A. Habib and V. Reebye, *RNA Activation*, 2017, vol. 983, pp. 189–194.
- 30 V. Reebye, P. Strom, P. J. Mintz, K. Huang, P. Swiderski, L. Peng, C. Liu, X. Liu, S. Lindkr-Jensen, D. Zacharoulis, N. Kostomitsopoulos, N. Kasahara, J. P. Nicholls, L. R. Jiao, M. Pai, D. R. Spalding, M. Mizandari, T. Chikovani, M. M. Emara, A. Haoudi, D. A. Tomalia, J. J. Rossi and N. A. Habib, *Hepatology*, 2013, **59**, 216–227.
- 31 R. F. Place, J. Wang, E. J. Noonan, R. Meyers, M. Manoharan, K. Charisse, R. Duncan, V. Huang, X. Wang and L. Li, *Molecular Therapy*, 2012, **1**, year.
- 32 J. Voutila, V. Reebye, T. C. Roberts, P. Protopapa, P. Andriakou, D. C. Blakey, R. Habib, H. Huber, P. Strom, J. J. Rossi and N. A. Habib, *Molecular Therapy*, 2017, **25**, 2705–2714.
- 33 C. P. Petersen, M. Bordeleau, J. Pelletier and P. A. Sharp, *Molecular Cell*, 2006, **21**, 533–542.
- 34 Y. Funakoshi, Y. Doi, N. Hosoda, N. Uchida, M. Osawa, I. Shimada, M. Tsujimoto, T. Suzuki, T. Katada and S. Hoshino, *Genes and Development*, 2007, **21**, 3135–3148.
- 35 Z. A. Syeda, S. S. S. Langden, C. Munkhzul, M. Lee and S. J. Song, *International Journal of Molecular Sciences*, 2020, **21**, 1723.
- 36 G. Reid, S. C. Kao, N. Pavlakis, H. Brahmabhatt, J. MacDiarmid, S. Clarke, M. Boyer and N. Van Zandwijk, *Epigenomics*, 2016, **8**, 1079–1085.
- 37 Cleveland Clinic, *Pleural Mesothelioma*, <https://my.clevelandclinic.org/health/diseases/15044-pleural-mesothelioma>, 2022.
- 38 D. S. Hong, Y. Kang, M. Board, J. Sachdev, S. Ejadi, H. Y. Lim, A. J. Brenner, K. Park, J. Lee, T. Kim, S. Shin, C. R. Becerra, G. Falchook, J. Stoudemire, D. Martin, K. Kelnar, H. Peltier, V. Bonato, A. G. Bader, S. Smith, S. Kim, V. O'Neill and M. S. Beg, *British Journal of Cancer*, 2020, **11**, 1630–1637.
- 39 M. Asmamaw and B. Zawdie, *Biologics: Targets and Therapy*, 2021, **15**, 353–361.
- 40 F. Hille and E. Charpentier, *Philosophical Transactions of the Royal Society B: Biological Sciences*, 2016, **371**, year.
- 41 A. Mir, A. Edraki, J. Lee and E. J. Sontheimer, *ACS Chemical Biology*, 2017, **13**, year.
- 42 I. Gostimskaya, *Biochemistry Moscow*, 2022, **87**, 777–788.
- 43 E. V. Hillary and S. A. Caesar, *Molecular Biotechnology*, 2023, **65**, 311–325.
- 44 A. Sharma, J. Boelens, M. Cancio, J. S. Hankins, P. Bhad, M. Azizy, A. Lewandowski, X. Zhao, S. Chitnis, R. Peddinti, Y. Zheng, N. Kapoor, F. Ciceri, T. Maclachlan, Y. Yang, Y. Liu, J. Yuan, U. Naumann, V. W. C. Yu, S. C. Stevenson, S. D. Vita and J. L. Labelle, *The New England Journal of Medicine*, 2023, **389**, year.
- 45 A. Irvine, *Paying for CRISPR Cures: The Economics of Genetic Therapies*, <https://innovativegenomics.org/news/paying-for-crispr-cures/>, 2019.
- 46 S. Kannan and D. Najjar, *MIT Science Policy Review*, 2020, **1**, 75.
- 47 K. E. Ormond, D. P. Mortlock, D. T. Scholes, Y. Bombard, L. C. Brody, W. A. Faucett, N. A. Garrison, L. Hercher, R. Isasi, A. Middleton, K. Musunuru, D. Shriner, A. Virani and C. E. Young, *The American Journal of Human Genetics*, 2017, **101**, 167–176.
- 48 D. Normile, *Science*, 2018.
- 49 T. Friedmann, *Gene Therapy*, 2019, **26**, 351–353.

Abbreviations used

Abbreviation	Full Name
siRNA	Small interfering RNA
saRNA	Small activating RNA
miRNA	MicroRNA
CRISPR	Clustered Interspaced Palindromic Repeats
Cas	CRISPR Associated Proteins
mRNA	Messenger RNA
RNAi	RNA Interference
RNAa	RNA Activation
Ago2	Argonaute 2
RHA	RNA Helicase A
RISC	RNA-Induced Silencing Complex
RITA	RNA-Induced Transcriptional Activation
dsRNA	Double Stranded RNA
pri-miRNA	Primary miRNAs
crRNA	CRISPR RNA
tracrRNA	Trans-activating CRISPR RNA
PTGS	Post-Transcriptional Gene Silencing
RdRP	RNA-Dependent RNA Polymerase
cRNA	Complementary RNA
PIWI	P-element Induced Wimpy Testis
PAZ	Piwi-Argonaute-Zwille
MID	Middle
QDE2	Quelling-Deficiency 2
dsRBD	dsRNA Binding Domain
SVZ	Subventricular Zone
Ask1	Apoptosis Signal-Regulating Kinase 1
I/R	Ischemia/Reperfusion
CHOP	C/EBP Homologous Protein
ER	Endoplasmic Reticulum
MAPK	Mitogen-Activated Protein Kinase
SAH	Subarachnoid Hemorrhage
Bcl2	B-Cell Leukemia/Lymphoma 2
BBB	Blood Brain Barrier
CysC	Cystatin C
TLR7	Toll-Like Receptor 7
ChIP	Chromatin Immunoprecipitation
RNAP II	RNA Polymerase II
Ser5	Serine 5
Ser2	Serine 2
TSS	Transcription Start Site
CHIRP	Chromatin Isolation by Biotinylated RNA Pull-down
qPCR	Quantitative Polymerase Chain Reaction
PAF1C	Polymerase-Associated Factor 1 Complex
C/EBP α	CCAT/Enhancer Binding Protein Alpha
SELEX	Systematic Evolution of Ligands by Exponential Enrichment
Cy3	Cyanine-3
20F-RNA	20-Fluoropyrimidine RNA
WST-1	Water-Soluble Tetrazolium Salt-1
SCID	Severe Combined Immunodeficiency
miRISC	MicroRNA-Induced Silencing Complex
P-bodies	Processing Bodies
oncomiRs	Oncogenes
oncosuppressor	Tumor Suppressors
miRs	
RAS	Rat Sarcoma
MPM	Malignant Pleural Mesothelioma
CCND1	Cyclin D1
MCL-1	Myeloid Leukemia 1
EDV	EnGeneIC Dream Vector
MGE	Mobile Genetic Elements

Abbreviation	Full Name
pre-crRNA	Precursor CRISPR RNA
PAM	Protospacer Adjacent Motif
Cascade	CRISPR-Associated Complex for Antiviral Defense
REC1	Recognition 1
gRNA	Guide RNA
HNH	Histidine-Asparagine-Histidine
RuVC	RecU-Like Resolvase
SCD	Sickle Cell Disease
HbS	Sickle Hemoglobin
RBC	Red Blood Cell
HSC	Hematopoietic Stem Cell
HBG1	Hemoglobin Subunit Gamma 1
HBG2	Hemoglobin Subunit Gamma 2
sgRNA	Single Guide RNA
T7E	T7 Endonuclease 1
HDR	Homology Directed Repair
NHEJ	Non-Homologous End Joining
SMA	Spinal Muscular Atrophy
HIV	Human Immunodeficiency Virus
CCR5	C-C Chemokine Receptor 5
